

Information sheet about the tied agents of ACOLIN Europe GmbH Status August 2018

The tied agents (*vertraglich gebundene Vermittler – VGV*) currently under the liability umbrella of ACOLIN Europe GmbH are:

- 1) Herr Nico Rischmann
- 2) Herr Dr. Rainer Gruenig
- 3) Herr Markus Haefliger
- 4) Herr Marcel Strobl
- 5) Herr Thomas Joachim Frey
- 6) Frau Janin Christiane Söder

The tied agents provide you with investment advice and investment brokerage on behalf and for the account of ACOLIN Europe GmbH, Reichenaustrasse 11 a-c, 78467 Konstanz, Germany, in accordance with Section 2, paragraph 10 of the German Banking Act (KWG).

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| Nr. 1) – 3) | for funds of Plenum Investments Limited |
| Nr. 4) | for Cape Capital SICAV-UCITS fund |
| Nr. 5) | for Twelve Capital Fund, Twelve Capital SICAV-SIF, Twelve Capital UCITS ICAV,
Twelve Insurance Bond Fund, Twelve Insurance Private Debt Fund, Twelve Falcon ILS
Fund Share Class - T, Twelve Falcon Insurance Opportunities Fund Share Class - T |
| Nr. 6) | for SUSI Energy Storage Fund |

ACOLIN Europe GmbH is a free and independent financial services institution in accordance with Section 32 of the KWG. It has permission for the following: investment advice, investment brokerage and acquisition brokerage in accordance with Section 1, paragraph 1a, sentence 2, nos. 1, 1a and 2 of the KWG. It is also subject to monitoring by the German Federal Financial Supervisory Authority (Bundesanstalt für Finanzdienstleistungsaufsicht, BaFin). The commercial register number of ACOLIN Europe GmbH is 713770.

The agents' registrations can be viewed in the public register for tied agents managed by the BaFin.

Additionally, the ACOLIN Group Compliance function (department) aims to ensure adherence of the tied agents to the applicable rules and regulations, by conducting regular controls and on-site visits. Thereby ensuring an ongoing Monitoring of their processes and activities.

Liability Umbrella:

ACOLIN Europe GmbH
Reichenaustrasse 11 a-c
78467 Konstanz

Managing Directors:

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Relevant authority:

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