

## Information sheet about the tied agents of ACOLIN Europe AG Status November 2019

The tied agents (*vertraglich gebundene Vermittler – VGV*) currently subject to the tied agency services of ACOLIN Europe AG are:

- 1) 2Xideas Europe Ltd, represented by John Kelly
- 2) Pedro Guerra
- 3) DIVAS Asset Management UG (haftungsbeschränkt), represented by Hans Ulrich Jost
- 4) SUSI Investment GmbH, represented by Janin Söder
- 5) Stefan Peller

The tied agents provide you with investment advice and investment brokerage on behalf and for the account of ACOLIN Europe AG, Reichenaustrasse 11 a-c, 78467 Konstanz, Germany, in accordance with Section 2, paragraph 10 of the German Banking Act (KWG).

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|------------|---------------------------|
| Nr. 1), 2) | for 2Xideas UCITS SICAV   |
| Nr. 3)     | for White Fleet IV        |
| Nr. 4)     | for SUSI Investment GmbH  |
| Nr. 5)     | for Plenum Cat Bond Fonds |

ACOLIN Europe AG is a free and independent financial services institution in accordance with Section 32 of the KWG. It has permission for the following: investment advice, investment brokerage and acquisition brokerage in accordance with Section 1, paragraph 1a, sentence 2, nos. 1, 1a and 2 of the KWG. It is also subject to monitoring by the German Federal Financial Supervisory Authority (Bundesanstalt für Finanzdienstleistungsaufsicht, BaFin). The commercial register number of ACOLIN Europe AG is 720276.

The agents' registrations can be viewed in the public register for tied agents managed by the BaFin.

Additionally, the ACOLIN Group Compliance function (department) aims to ensure adherence of the tied agents to the applicable rules and regulations, by conducting regular controls and on-site visits. Thereby ensuring an ongoing Monitoring of their processes and activities.

### Tied Agency Services:

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**Relevant authority:**

Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin)

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