

## Your Swiss Legal Representative Solution

### Your objective

You want to distribute your investment funds to qualified and/or non-qualified investors in Switzerland

### Your challenge

- Making sure that the distribution of your funds is compliant with Swiss law
- Selecting a legal representative and a paying agent (bank)
- Setting up distribution agreements with fund platforms and other financial intermediaries

### How ACOLIN can support you

- We provide you with valuable distribution knowledge with regards to requirements and rules applicable to the Swiss market
- We register your funds with FINMA for the distribution to non-qualified investors or set up your funds for distribution to qualified investors
- We act as a legal representative for your funds vis-à-vis the authorities, your distribution partners, and the investors
- We help you to achieve a successful market entry and expansion of your fund distribution in Switzerland

### Our Legal Representative Services (one-off and ongoing)

- Consulting for set-up
- Preparation and registration of funds with FINMA
- Meeting legal and regulatory requirements by taking over your issuing, publishing and information responsibilities vis-à-vis FINMA, distributors and investors in each one of the following:
  - Amendments of prospectuses
  - Amendments of the KIIDs
  - Amendments of the articles of association or fund contract
  - Annual and semi-annual reports (according to FINMA regulations and SFAMA's self-regulatory provisions)
- Help with the finalization of a paying agent agreement with a Swiss paying agent (bank)
- Continuous compliance review of marketing materials and providing access to disclaimer database
- Support with the negotiation of contracts with Swiss distributors and carrying out of initial due diligence
- Informing the fund provider in a timely manner on any regulatory changes, as well as on legal, tax-related and structural developments in the Swiss fund market

### Our Services in case of a change of representative

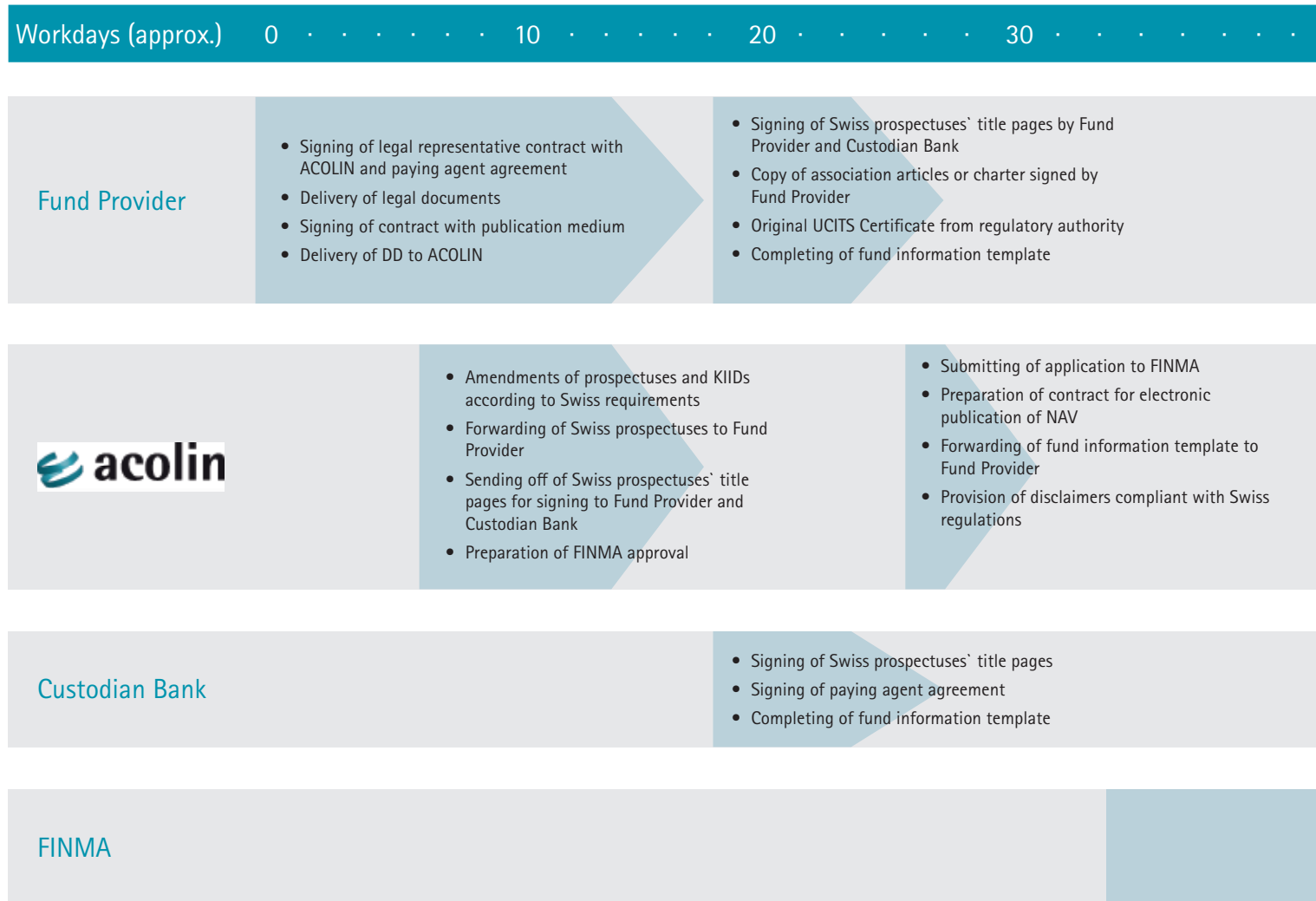
- Preparation of a new representation agreement and, if applicable, a new paying agent agreement
- Amendments of your legal documents for Swiss investors
- Drawing up of the necessary documents in cooperation with your previous representative
- Taking over or entering into all existing regulatory compliant distribution agreements
- Replacing obsolete distribution agreements with regulatory compliant ones

### Additional regulatory duties

- Acting as FINMA's primary contact for all regulatory issues
- Arranging of NAV publication for distribution to non-qualified investors
- Reviewing of legal documents for compliance with Swiss laws and regulations
- Due diligence and continuous supervision of distributors' compliance with legal provisions and regulations

ACOLIN –  
your one-stop shop for  
cross-border distribution

## Timeline for FINMA approval



### As your legal representative, we are obliged to notify FINMA in each of the following events:

- Registration of new funds or sub-funds
- Merger and liquidation of an investment fund or change of legal form
- Deregistration of individual investment funds and/or sub-funds
- Failure to launch an investment fund or to begin distribution in Switzerland
- Postponement of redemption of units/shares
- Change of legal representative or paying agent
- Any measures implemented by a foreign regulatory authority against the investment fund, specifically a registration withdrawal
- Valuation errors and their rectification

- Waiting for FINMA
- Preparation of marketing and distribution strategy
- Preparation of marketing material to be reviewed by ACOLIN

- Informing Fund Provider of approval
- Releasing of fund data at FundInfo
- Notification on ACOLIN's homepage
- Information to distribution partners if DNM contract

- Integration of fund into ACOLIN's distribution network within next quarter if DNM contract

- Approval of fund within 4 to 8 weeks

- Earliest deadline for FINMA approval

## Why choose ACOLIN as your legal representative?

### No conflicting interests

We operate independently of banks, law firms and distributors, and represent over 1500 funds subject to 28 EU and off-shore jurisdictions

### Efficient

We take on all tasks related to the registration and representation of your funds in Switzerland with our in-house staff

### Up-to-date

Due to our daily contact with FINMA, we are the first to learn of any changes in regulatory practices and can therefore inform you about them regularly

### Locally-based

Headquartered in Zurich with subsidiaries in Geneva, Konstanz, London, Belgrade and Luxembourg, as well as representative offices in Dublin, Milan and Madrid, we are always available for you

### Competitive Pricing

We offer our services as a competitive package and you only need one contact partner for all your regulatory concerns

### Comprehensive Support

With our Distribution-Network Management (DNM) we are the only Swiss legal representative that can provide you with a direct access to professional investors

## ACOLIN supports you with your fund distribution

With our international team of experienced fund specialists, we cover the entire value chain for investment fund distribution.

### Access to distribution

#### Distribution–Network Management

- Access to the whole ACOLIN distribution network or to selected distribution channels (DNM)
- Lower entry barriers
- Ensuring the targeting of your specific investment groups with platforms, banks, pools, insurance companies, and asset managers
- Data management – we make your funds visible
- Commission & retro management – saving you time and money
- Forwarding of fund information to distribution partners – making sure they can sell your fund

#### developed

Austria, Germany, Italy, Luxembourg, Spain, Sweden, Switzerland, United Kingdom

#### in development

Finland, Portugal, Latin America, Singapore

## We make your funds visible

With the ACOLIN distribution network you gain Europe-wide access to more than 3'000 banks, platforms and insurers with countless branches and offices, as well as thousands of financial advisors and asset managers.

To find out more about our DNM services, please refer to the DNM service sheet.