

Your solution for the legal representation and distribution to Qualified Investors (QI) in Switzerland

Your objective

You want to distribute your investment funds to qualified investors in Switzerland

Your challenge

- Making sure that the distribution of your funds is compliant with Swiss law
- Selecting a legal representative and a paying agent (bank)
- Setting up distribution agreements with fund platforms and other financial intermediaries

How ACOLIN can support you

- We provide you with valuable distribution knowledge with regards to requirements and rules applicable to the Swiss market
- We set up your funds for distribution to qualified investors
- We act as a legal representative for your funds vis-à-vis authorities, distribution partners, and investors
- We help you to achieve a successful market entry and expansion of your fund distribution in Switzerland

Our Legal Representative Services (one-off)

- Consulting for distribution set-up in Switzerland
- Reviewing and supplementing of
 - All founding documents required for authorization by the regulatory authorities
 - Marketing documents and web page
 - Annual and semi-annual (if available) reports with Swiss information
- Help with the finalization of a paying agent agreement with a Swiss paying agent (bank)
- Providing access to a disclaimer database
- Support with the negotiation of contracts with distributors
- Carrying out of initial due diligence with distributors

Ongoing Representative Services

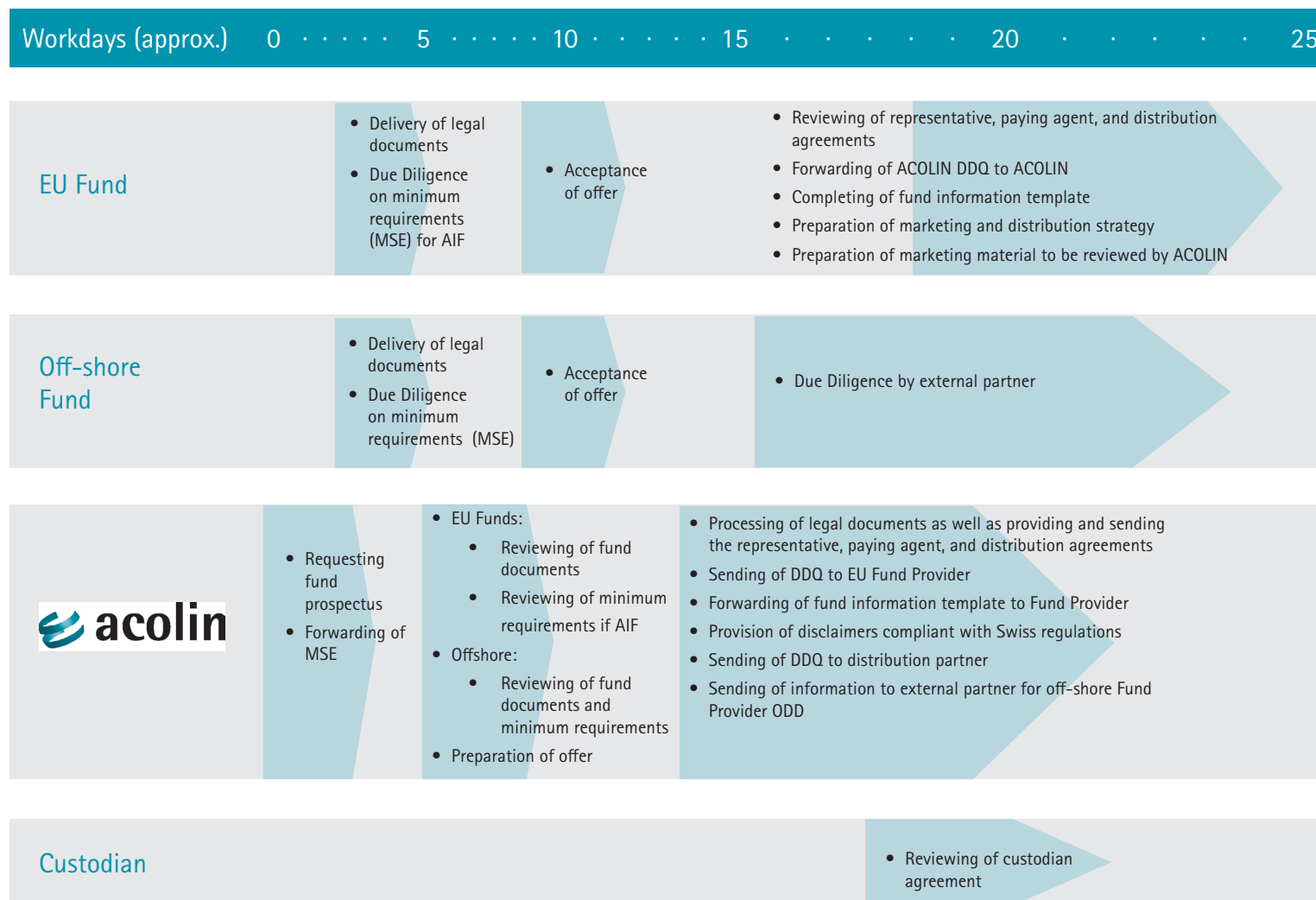
- First contact and place of jurisdiction for public authorities and investors in Switzerland
- Periodical reviewing of legal documents for compliance with Swiss laws and regulations
- Regular reviewing of marketing materials
- Storing of all relevant documents in case of investor requests
- Due diligence and regular verification of distributors' compliance with Swiss legal provisions and regulations
- Informing distributors in a timely manner on any changes in the legal documents
- Informing the fund provider in a timely manner on any regulatory changes, as well as on legal, tax-related and structural developments in the Swiss fund market

Our Services in case of a change of representative to ACOLIN

- Preparation of a new representation agreement and, if applicable, a new paying agent agreement
- Amendments of your legal documents for Swiss investors
- Drawing up of the necessary documents in cooperation with your previous representative
- Taking over, or entering into all existing, regulatory compliant distribution agreements
- Replacing obsolete distribution agreements with regulatory compliant ones

ACOLIN –
your one-stop shop for
cross-border distribution

Timeline for agreement set-up in Switzerland



Who is considered a qualified investor?

The CISA defines qualified investors as:

- A. Supervised financial intermediaries (such as banks, securities dealers and investment fund managers)
- B. Supervised insurance companies
- C. Public law institutions and pension funds with professional treasury departments
- D. Businesses with professional treasury departments
- E. Wealthy private persons, provided these meet certain requirements and have stated their wish to be considered qualified investors in writing (opting-in)
- F. Asset managers who have not stated their wish to be considered non-qualified investors in writing (no opting-out)

- Signing of agreements

- Reviewing of representative, paying agent, and distribution agreements
- Completing of fund information template
- Preparation of marketing and distribution strategy
- Preparation of marketing material to be reviewed by ACOLIN

- Signing of agreements

- Final check of DDQ
- Finalization and verification of fund information template
- Monitoring receipt of payment
- Sending of agreements

- Signing of agreements
- Informing Fund Provider of approval
- Notification on ACOLIN's homepage
- Information to distribution partners if DNM contract

- Integration of fund into ACOLIN's distribution network within next quarter if DNM contract

- Signing of custodian agreement

Why choose ACOLIN as your legal representative?

No conflicting interests

We operate independently of banks, law firms and distributors, and represent over 1550 funds subject to 12 EU and off-shore jurisdictions

Efficient

We take on all tasks related to the set-up and representation of your funds in Switzerland with our in-house staff

Up-to-date

Due to our daily contact with the regulatory authorities, we are the first to learn of any changes in regulatory practices and can therefore inform you about them regularly

Locally-based

Headquartered in Zurich with subsidiaries in Konstanz, London and Luxemburg, as well as representative offices in Dublin and Madrid, we are always available for you

Competitive Pricing

We offer our services as a competitive package and you only need one contact partner for all your regulatory concerns

Comprehensive Support

With our Distribution-Network Management (DNM) we are the only Swiss legal representative that can provide you with a direct access to qualified investors

ACOLIN supports you with your fund distribution to qualified investors

With our international team of experienced fund specialists, we cover the entire value chain for investment fund distribution.

Access to distribution

Distribution-Network Management

- Access to selected distribution channels (DNM-S)
- Lower entry barriers
- Ensuring the targeting of your specific investment groups with platforms, banks, pools, insurance companies, and asset managers
- Data management – we make your funds visible
- Commission & retro management – saving you time and money
- Forwarding of fund information to distribution partners – making sure they can sell your fund

developed

Austria, Germany, Italy, Luxembourg, Spain, Sweden, Switzerland, United Kingdom

in development

Finland, Portugal, Latin America, Singapore

We make your funds visible

With the ACOLIN distribution network you gain Europe-wide access to more than 2'000 banks, platforms and insurers with countless branches and offices, as well as thousands of financial advisors and asset managers.

To find out more about our DNM services, please refer to the DNM service sheet.